

10/17/01



**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No. PD99-2831

First Inventor James E. Pherson et al.

Title SYSTEM FOR CONTROLLING
ACCESS TO RESOURCES IN
A STORAGE AREA NETWORK

Express Mail Label No. EL700671274US



01/17/01

APPLICATION ELEMENTSAssistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing)	6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
3. <input checked="" type="checkbox"/> Specification [total pages <u>26</u>] (preferred Arrangement set forth below)	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
<ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure 	<ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Specification Sequence Listing on <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) [total sheets <u>6</u>]	9. <input checked="" type="checkbox"/> Assignment Papers (coversheet/document(s))
5. <input checked="" type="checkbox"/> Oath or Declaration [total sheets <u>2</u>]	10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement <input checked="" type="checkbox"/> Power of (when there is an assignee) Attorney
<ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d)) i. <input checked="" type="checkbox"/> DELETION OF INVENTOR(S) • Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 	11. <input type="checkbox"/> English Translation Document
	12. <input type="checkbox"/> IDS & Form 1449 <input type="checkbox"/> Copies of IDS Citations
	13. <input type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s)
	16. <input checked="" type="checkbox"/> Other: Certificate of Mailing by Express Mail

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner: _____ Group/Art Unit: _____

FOR CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or Correspondence address below

Name	William J. KUBIDA, Esq.				
	Hogan & Hartson, LLP				
Address	1200 17 th Street				
	Suite 1500				
City	Denver	State	CO	ZIP	80202
Country	US	Telephone	(719) 448-5900	Fax	(719) 448-5922

**FEE TRANSMITTAL
for FY 2000**

TOTAL AMOUNT OF PAYMENT (\$) **(\$)** **750.00**

Complete if Known

Application Number	-----
Filing Date	Herewith
First Named Inventor	James E. Pherson et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	PD99-2831

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to
Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

Charge Any Additional Fee Required Under 37 CFR § 1.16 and 1.17
 Applicant claims small entity status
 See 37 CFR 1.27

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	710.00
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	
SUBTOTAL (1)			(\$) 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20**= 0	X	= 0
3	-3**= 0	X	= 0
Multiple Dependent			

**or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

(\$) **0.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,240	620	Petition to revive - unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment or property (times number of properties)	40 00
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

(\$) **40.00**

SUBMITTED BY

Name (Print/Type) Signature	William J. Kubica	Registration No. (Attorney/Agent)	29,664	Telephone	(719) 448-5900
			Date	12 JAN 01	